



Dep: Ref

Atty. Dkt. No. 040405-0321

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kikui Hideki  
 Title: SUBSCRIBER CIRCUITS  
 Appl. No.: 09/592,915  
 Filing Date: June 13, 2000  
 Examiner: Briney III, Walter F.  
 Art Unit: 2646  
 Confirmation Number: 5751

2007 MAR 23 PM 4:59

REQUEST FOR REFUND

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

On September 14, 2006, the Applicant submitted an Issue Fee Transmittal for an Issue fee of \$1400.00. The PTO Office overcharged the applicant a fee of \$1700.00 to credit card number 4715634377914191 in the above-identified application.

Attached is a copy of the Issue Fee Transmittal from the PAIR System showing that the PTO incorrectly charged \$1700.00 for this filing. Also attached is our Credit Card Authorization Form and the stamped Return Post Card.

In view of the forgoing, the Applicant respectfully requests that a credit of \$300.00 be made to credit card number 4715634377914191.

Respectfully submitted,

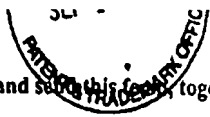
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Date March 26, 2007By Phillip J. Articola

FOLEY & LARDNER LLP  
 Customer Number: 22428  
 Telephone: (202) 672-5538  
 Facsimile: (202) 672-5399

Phillip J. Articola  
 Attorney for Applicant  
 Registration No. 38,819



Best Available Copy

## PART B - FEE(S) TRANSMITTAL

Complete and submit this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or **Fax** (571)-273-2885

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Please Use Block 1 for any change of address)

22428 7590 06/21/2006

FOLEY AND LARDNER LLP  
SUITE 500  
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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/592,915	06/13/2000	Hideki Kikui	040405/321	5751

TITLE OF INVENTION: SUBSCRIBER CIRCUIT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	09/21/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
BRINEY III, WALTER F	2615	379-387000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 09/15/2006 MBERHE1

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document is not to be recorded as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

NEC CORPORATION

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

TOKYO, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☒ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-0741 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*David A. Blumenthal*  
David A. Blumenthal

Date 9/14/2006

Typed or printed name

Registration No. 26,257

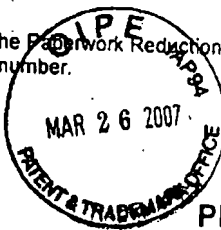
Reg. No. 38,819

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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# United States Patent and Trademark Office

## Credit Card Payment Form

Please Read Instructions before Completing this Form

### Credit Card Information

Credit Card Type: ☒ Visa ☐ MasterCard ☐ American Express ☐ Discover

Credit Card Account #: [REDACTED]

Credit Card Expiration Date: 11/30/2008

Name as it Appears on Credit Card: Phillip J Articola

Payment Amount: \$ (US Dollars): \$1,400.00

Cardholder Signature: [Signature] Date: September 14, 2006

**Refund Policy:** The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The office will not refund amounts of \$25.00 or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR § 1.26). Refund of a fee paid by credit card will be issued as a credit to the credit card account to which the fee was charged.

**Service Charge:** There is a \$50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR § 1.21 (m)).

### Credit Card Billing Address

Street Address 1: Washington Harbour

Street Address 2: 3000 K Street NW, Suite 500

City: Washington

State/Province: D.C.

Zip/Postal Code: 20007-5143

Country: U.S.A.

Daytime Phone #: (202) 672-5300

Fax #: (202) 672-5399

### Request and Payment Information

Description of Request and Payment Information:  
Issue fee payment

☒ Patent Fee

☐ Patent Maintenance Fee

☐ Trademark Fee

☐ Other Fee

Application No.  
09/592,915

Application No.

Application No.

IDON Customer No.

Patent No.

Patent No.

Registration No.

Attorney Docket No.  
040405-0321

Identity or Describe Mark

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


Receipt of the following is hereby acknowledged by the stamp below.

Title: SUBSCRIBER CIRCUIT  
Inventor(s): Hideki KIKUI Dkt. No. 040405-0321  
Appl. No.: 09/592 915 September 14, 2006

- Issue Fee Transmittal Form PTOL-85(B) (2 pgs.);
- Credit Card Payment Form for \$1,400.00 (1 pg.).

Due Date: September 21, 2006

Insp. By: 

Attorney Initials: DABLWlsakrgc



JG LB

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